



2881

Attorney Docket No. 42P14870

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
William B. Chapman et al)
Application No.:09/848,914)
Filed: May 4, 2001)
For: METHOD AND APPARATUS FOR)
TUNING A LASER)

Examiner:

Art Unit: 2881

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
NOV 20 2002
TC 2800 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on November 8, 2002.

(Date of Deposit)

Dominique Valentino

(Typed or printed name of person mailing correspondence)

Dominique Valentino

(Signature of person mailing correspondence)

RECEIVED

AUG 27 2003

OFFICE OF PETITIONS

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. §1.97(b).

 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

 A statement pursuant to 37 C.F.R. §1.97(e) or

 A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No.
02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Nov. 8, 2002



Lawrence E. Lycke
Reg. No. 38,540

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(206) 292-8600

RECEIVED

AUG 27 2003

OFFICE OF PETITIONS



Substitute for Form 1449A/PTO (Modified)

NOV 18 2002 8

Complete if Known

Application Number	09/848,914
Filing Date	May 4, 2001
First Named Inventor:	William B. Chapman et al.
Group Art Unit	2881
Examiner Name	

Sheet 1 of 2

Attorney Docket Number 42P14870

U.S. PATENT DOCUMENTS

RECEIVED
MAY 20 2002
TC 2800 MAIL ROOM

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

AUG 27 2003

OFFICE OF PETITIONS



te for Form 1449A/PTO (Modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

2 - of 2

<i>Complete if Known</i>	
Application Number	09/848,914
Filing Date	May 4, 2001
First Named Inventor:	William B. Chapman et al.
Group Art Unit	2881
Examiner Name	
Attorney Docket Number	42P14870

OTHER ART – NO PATENT LITERATURE DOCUMENTS

Cité No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	PCT International Search Report, 06-10-2002.

RECEIVED
NOV 20 2002
TO 2800 MAIL ROOM

RECEIVED

AUG 27 2003

OFFICE OF PETITIONS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.